



## Short communication

Reducing the self-healing temperature of Ti<sub>2</sub>AlC MAX phase coating by substituting Al with SnZhenyu Wang<sup>a,c</sup>, Jie Sun<sup>d</sup>, Beibei Xu<sup>a</sup>, Yingrui Liu<sup>a</sup>, Peiling Ke<sup>a,b,\*</sup>, Aiyang Wang<sup>a,b,c,\*</sup><sup>a</sup> Key Laboratory of Marine Materials and Related Technologies, Zhejiang Key Laboratory of Marine Materials and Protective Technologies, Ningbo Institute of Materials Technology and Engineering, Chinese Academy of Sciences, Ningbo 315201, China<sup>b</sup> Center of Materials Science and Optoelectronics Engineering, University of Chinese Academy of Sciences, Beijing, 10049, China<sup>c</sup> Ningbo Institute of Industrial Technology, Chinese Academy of Sciences, China<sup>d</sup> Center for Analysis and Measurements, Ningbo Institute of Materials Technology & Engineering, Chinese Academy of Sciences, Ningbo, Zhejiang, 315201, China

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## ABSTRACT

In an effort to overcome the property degradation of Ti<sub>2</sub>AlC MAX phase coating used in harsh environments, we fabricated a solid solution Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C coating with amount of Ti<sub>5</sub>Sn<sub>3</sub> (20 wt.%) by a combined technique composing of magnetron sputtering and post-heat treatment. The cracks induced by Vickers indentation on coating surface were self-healed at 700 °C, which is the lowest self-healing temperature among the Al-based MAX phase coatings till now. The structural evolution and kinetic diffusion revealed that the formation of SnO<sub>2</sub> is the key factor to achieve the crack self-healing at such a low temperature for Al-based MAX phase coatings. Additionally, the self-healed Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C coating exhibited better oxidation resistance compared to the unhealed one at 800 °C. The results provide a novel and facile strategy to develop the protective MAX phase coatings with high performance at high temperature by partially substituting Al with Sn.

## 1. Introduction

Ti<sub>2</sub>AlC is one of the ternary layer M<sub>n+1</sub>AX<sub>n</sub> (MAX) phase ceramics, where M is an early transition metal, A is an element primarily from group 13–14, and X is either C and/or N. Such phases have attracted considerable attention due to the combined merits of high thermal conductivity, good ductility, superior high-temperature oxidation resistance, excellent corrosion resistance, as well as good irradiation resistant properties [1,2]. Such unique properties make Ti<sub>2</sub>AlC MAX phase suitable as protective coating materials in accident tolerant fuels (ATFs) or other harsh environments [3,4]. Usually, the larger-area and thicker thermally grown Ti<sub>2</sub>AlC coating can be obtained using a facile approach, in which the coating including Ti, Al, and C is initially deposited in appropriate content by various deposition technologies, i.e. physical vapor deposition (PVD), thermal spraying, etc, followed by heat treatment [5]. Nonetheless, common drawbacks such as cracks formed during the heat treatment and the coating growth defects (e.g. pin-holes, pores and other open voids) provide short-circuit diffusion path for the inward diffusion of corrosive particles during oxidation or corrosion processes, and thus cause severe property degradation [6,7]. Therefore, attempts to self-healing are of particular interest for enhancing the reliability and restraining these defects sensitivity of

coatings.

Filling of the defects by the formation of stable oxides upon selective oxidation of Al is defined as the main self-healing mechanism for Ti<sub>2</sub>AlC MAX phase materials, but oxidation-induced healing behavior requires high temperatures, generally over 900 °C, due to the high oxidation temperature and blunt diffusivity of the Al element [8–10]. In order to lower the self-healing temperatures of Ti<sub>2</sub>AlC MAX phases, solid solution treatment for Ti<sub>2</sub>AlC with Sn on the A-site would be promising because a low cohesion and migration energy as well as low-melting-point of Sn atom promotes its mobilization and oxidation at lower temperature. Bei et al. found that the oxidation temperature of Sn-containing Ti<sub>2</sub>(Al<sub>1-x</sub>Sn<sub>x</sub>)C solid solutions was reduced from 900 °C to 460 °C with increasing the Sn content [11]. In addition, the replacement of Al atoms with larger Sn atoms would increase the Ti-Al bond length in Ti<sub>2</sub>AlC and enhance the number of vacancy sites on Al [8]. This might result in higher mobility of Al atoms, and possibly even better oxidation resistance of Ti<sub>2</sub>AlC. However, to the best of our knowledge, the self-healing behavior of Ti<sub>2</sub>(Al<sub>1-x</sub>Sn<sub>x</sub>)C solid solutions coatings from the experimental study has not been reported till date. In this study we prepared solid solution Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C MAX phase coating and aimed to significantly lower self-healing temperature of Ti<sub>2</sub>AlC by partially substituting Al with Sn to develop the protective MAX phase

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coatings with high performance.

## 2. Experiments

The Ti-Al-Sn-C coatings were firstly deposited on the Ti6Al4V (TC4) substrates by reactive DC magnetron sputtering  $Ti_{1.5}(Al_{0.5}Sn_{0.5})$  compound target in Ar/CH<sub>4</sub> gas precursors at a ratio of 40/1. The nominal composition of this alloy in weight per cent is: Al, 6.04; V, 4.03; Fe, 0.3; O, 0.1; N, 0.05; H, 0.015 and the balance Ti. The base pressure of the chamber was vacuumed to  $3.2 \times 10^{-3}$  Pa. The sputtering power was fixed at 1.6 kW and the coating was deposited for 10 h without additional heating. The annealing treatment for as-deposited coatings was applied at 750 °C in vacuum for 1.5 h to form the Ti<sub>2</sub>(Al, Sn)C MAX phase.

The crystal structure and phase formation were studied using Bruker D8 Advanced diffractometer using Cu K $\alpha$  ( $\lambda = 1.5418 \text{ \AA}$ ) radiation. The XRD patterns were performed using the Bruker TOPAS software to do Rietveld-refinement. Scanning Electron Microscopy (SEM, FEI Quanta 250 FEG) equipped with energy dispersive X-ray spectrometry (EDS, Oxford instrument) was used for microstructure and element mapping studies. Transmission Electron Microscope (TEM) analysis was conducted in a Talos F200 at the operating voltage of 200 keV. Cross-section TEM samples and local cross-sections were prepared by focused ion beam (FIB, Carl Zeiss, Auriga). Vickers indentation-induced cracks were in-situ healed at 700 °C in air atmosphere using an alumina tube furnace. The microstructural evolution of the crack filling with solid oxides was evaluated by SEM. The thermodynamic data for stoichiometric phase was calculated using the FactStage thermodynamic software, and if there were no thermodynamic data available for metastable phases of off-stoichiometric compositions, the Neumann-Kopp rule with linear extrapolation was used [10]. The oxidation properties of self-healed coating were tested in static air at 800 °C in alumina crucibles placed in a tube furnace. After oxidation, the oxidized samples were taken out of the furnace, and cooled down to room temperature at various intervals for mass change measurement by analytical balance. The sensitivity of the balance used for the mass measurement was  $10^{-5}$  g. For comparison, oxidation exposures of the unhealed coating were also performed in the same tube furnace.

## 3. Results and discussion

The microstructure of the as-deposited Ti-Al-Sn-C coatings were characterized by TEM in cross-sectional view, as shown in Fig. 1, where a columnar structure along the growth direction of Ti-Al-Sn-C coating is evident. The selected area electron diffraction (SAED, inserted in Fig. 1a) exhibits a diffused diffraction halo, which is the typical feature of amorphous structure. Furthermore, the coating structure at a higher magnification, as presented in Fig. 1b, demonstrates that no crystallization phase is formed within the deposited Ti-Al-Sn-C coating. This

can further be confirmed from the XRD patterns (see Fig. 1c), in which a broad diffraction peak at about 38° is visible. Similar results have been previously reported in the literature [12]. In addition, according to the EDS results, the atomic ratio of Ti, Al, Sn and C in the deposited coating is determined to be 52:14:14:20.

Fig. 2 shows the phase structure and microstructure of the annealed coating. After 1.5 h annealing at 750 °C, as shown in Fig. 2a, the initial columnar structure in pristine coating disappears because of the inter-diffusion of elements, accompanied with the emergence of sharp crystalline diffraction rings. The typical stacking orders for the Ti<sub>2</sub>AlC MAX phase with two TiC-slabs interleaved with a square-planar layer of Al can be seen in the HRTEM image (inserted in Fig. 2b), when the electron beam is parallel to the [11–20] direction. The *c* lattice parameter deduced from the enlarged HRTEM image is 13.62 Å, a value that is in good accordance with the previous measurement [13]. From the XRD patterns of the annealed coating in Fig. 2c, the two detectable crystalline phases can be clarified to Ti<sub>2</sub>AlC and Ti<sub>5</sub>Sn<sub>3</sub>, indexed by the PDF card No.29-0095 and No.10-0215, respectively. Moreover, the reflection peaks shift to small angles with the addition of Sn, which is ascribed to the increase of lattice parameters. To quantitatively identify the changes of the lattice parameters, Rietveld-refinement for the diffraction patterns was analyzed using Ti<sub>2</sub>AlC as the structure model. To ensure the sufficient accuracy of the refinement, several refinement cycles were conducted until the final reliability factor (R-P and R-WP) was less than 10%. As shown in Table 1, the substituting content of Al with Sn and the *c*<sub>0</sub>/*a*<sub>0</sub> value are determined to be 40 at.% and 4.43, respectively. The corresponding relationship between substituting content and *c*<sub>0</sub>/*a*<sub>0</sub> is in line with the previous report [11], suggesting that an ideal solid solution following Vegard's law is formed in this study. The phase compositions of Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C and Ti<sub>5</sub>Sn<sub>3</sub> in the coating are estimated at 80 wt.% and 20 wt.%, respectively. Meanwhile, the atomic ratio of the annealed coating is determined to be 50:14:14:22 according to the EDS results, and get close to that in the pristine coating.

The self-healing property was studied on Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C MAX phase coating containing patterns of surface cracks generated by Vickers indentation. As shown in Fig. 3a, the cracks along radial direction and axial direction are clearly distinguished after Vickers indentation, but the cracks along axial direction are wider than those along radial direction. Fig. 3b displays the secondary electron images of Vickers indentation after annealing at 700 °C for 10 h, far lower than the reported self-healing temperature of Ti<sub>2</sub>AlC MAX phase. Obviously, the cracks are completely healed with the oxides appearing in bright contrast, and the small spherical oxides also grow where the coating defects are formed. Hence, we can conclude that both the cracks and coating growth defects are healed for the Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C MAX phase coating at 700 °C. For a detailed inspection of the reaction-formed oxides in the healed cracks, local cross-sections were made with the aids of FIB. Rectangular areas marked in Fig. 3b indicate the positions where

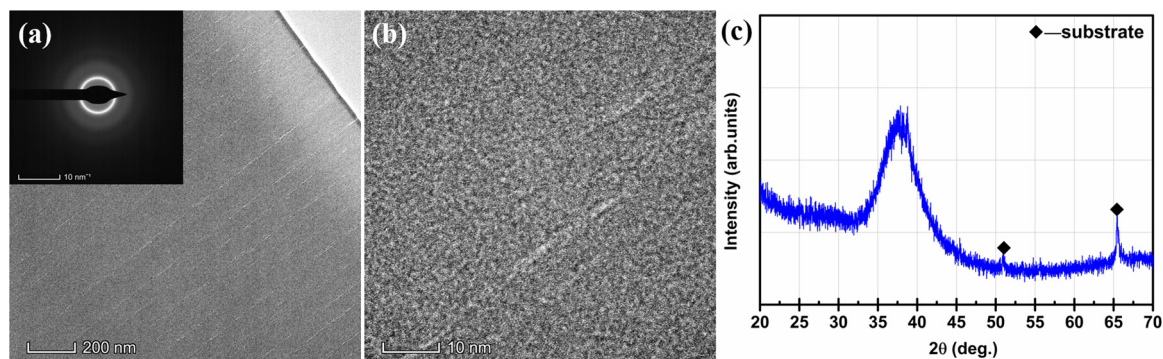
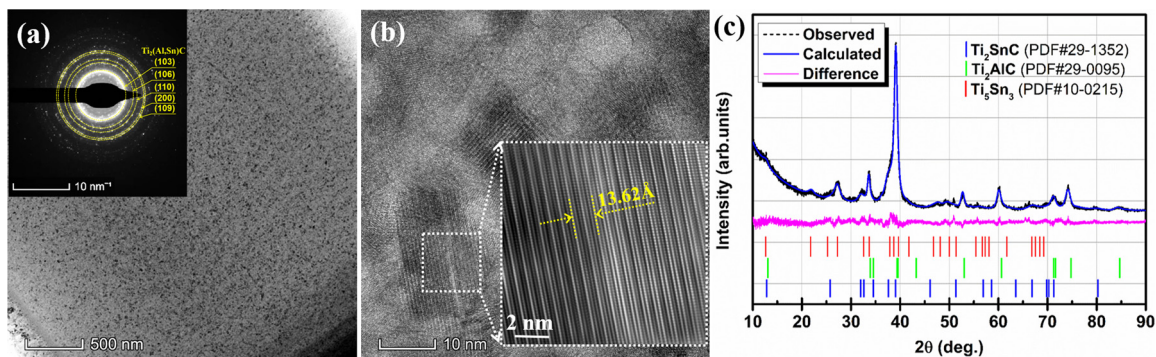


Fig. 1. TEM images and XRD patterns of the as-deposited Ti-Al-Sn-C coating. (a) Low magnification of cross-sectional view and the corresponding SAED. (b) The HRTEM image. (c) XRD patterns.





**Fig. 2.** TEM images and XRD patterns of the annealed Ti-Al-Sn-C coating. (a) Low magnification of cross-sectional view and the corresponding SAED. (b) The HRTEM image. (c) XRD patterns.

**Table 1**

Calculated phase content together with calculated lattice parameters for the annealed Ti-Al-Sn-C coating.

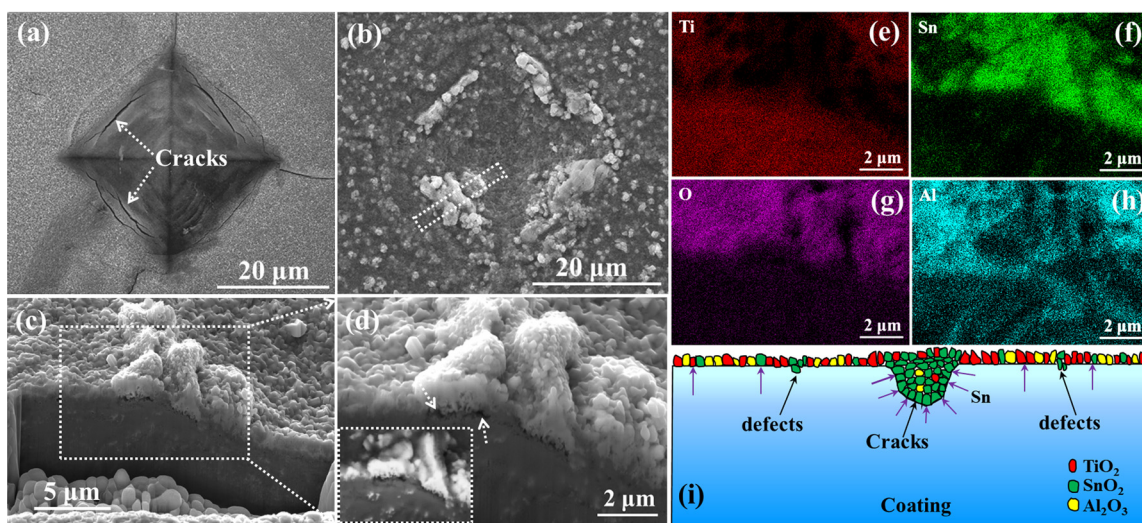
Phases	Content (wt.%)	a (Å)	c (Å)	c/a	R-P (%)	R-WP (%)
Ti <sub>2</sub> (Al <sub>0.6</sub> Sn <sub>0.4</sub> )C	80	3.08	13.63	4.43	3.2	7.7
Ti <sub>5</sub> Sn <sub>3</sub>	20	8.08	5.51	0.68		

the cross-sections are made. The SEM morphologies of the healed crack are shown in Fig. 3c-d. Apparently, the filling oxides exhibit dense structure, while the interface between the coating and oxides is not completely healed, which might be ascribed to the limited healing time. The Sn, Al, Ti and O element mapping, shown in Fig. 3e-h, reveals that the self-healing cracks are enriched in Sn, O but poor in Ti, Al. This indicates that these cracks are mainly filled with SnO<sub>2</sub>. Further backscatter electron image inserted in Fig. 3d verifies the filled SnO<sub>2</sub> oxides in the cracks exhibit bright contrast compared with other areas because backscatter electron technique reveals atomic number (Z) contrast. The self-healing phenomena are schematically illustrated in Fig. 3i.

Previous works have assumed that sufficient volume expansion upon selective oxidation of Al is a critical factor for effective self-healing of Al-based MAX phase ceramics [14]. Both theories and experiments have identified that Al in Ti<sub>2</sub>AlC MAX phases has a high diffusion rate and activity at high temperatures due to its layered hexagonal structure with Ti-C-groups separated by pure Al-element layers [15,16]. The rapid diffusive mobility of Al when oxidation

temperatures exceed 900 °C leads to the Al-selective oxidation, which accelerates the formation of Al<sub>2</sub>O<sub>3</sub> oxides. However, achieving the self-healing at less than 900 °C for Al-based MAX phase still remains a very highly challenging task. Liu et al., [17] compared the migration energy of Al and Sn in Ti<sub>2</sub>AlC, and concluded that the diffusion migration energy of Sn in Ti<sub>2</sub>AlC with 0.66 eV is lower than that of Al with 0.83 eV. The rapid mobility of Sn driven by its fluid flow transport above its melting point of 232 °C and its low migration energy seem to favor the transportation of Sn to form Sn-oxides in oxidative atmosphere [18]. Hence, a lower oxidation temperature is to be expected for Sn when in combination with any of the shown other MAX phases, thereby decreasing the self-healing temperature by substituting Al with Sn in Ti<sub>2</sub>AlC.

To clarify the self-healing mechanism of Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C coating, Fig. 4a shows the XRD patterns of the self-healed coating, and the calculated phase content together with calculated lattice parameters by Rietveld-refinement are listed in Table 2. X-ray peaks of rutile-TiO<sub>2</sub> and SnO<sub>2</sub> are detected after self-healing for Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C coating. From the thermodynamics, as shown in Fig. 4b, the free energies, ΔG, of SnO<sub>2</sub> and TiO<sub>2</sub> are negative, thus providing theoretical bases to understand the appearances of SnO<sub>2</sub> and TiO<sub>2</sub>. The Gibbs free energy of Al<sub>2</sub>O<sub>3</sub> is the lowest compared to TiO<sub>2</sub> and SnO<sub>2</sub>, hence Al<sub>2</sub>O<sub>3</sub> should form preferentially. However, no other peaks of Al-oxides, such as γ-Al<sub>2</sub>O<sub>3</sub> and α-Al<sub>2</sub>O<sub>3</sub>, appear in the XRD patterns, which are ascribed to the following reasons: (1) the amount of formed Al-oxides is too small to detect within the XRD resolution limits due to its slow growth rate; (2)



**Fig. 3.** (a) the cracks on the surface of Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C coating before self-healing. (b) Microstructures of the surface cracks after self-healing. (c) Low magnification image of the self-healing cracks after FIB milling. (d) An enlarged image taken from the marked area in the set of (c), the inset is the backscattered electron image. (e) Ti mapping. (f) Sn mapping. (g) O mapping. (h) Al mapping. (i) Schematic illustration of Ti<sub>2</sub>(Al<sub>0.6</sub>Sn<sub>0.4</sub>)C coating in self-healing.

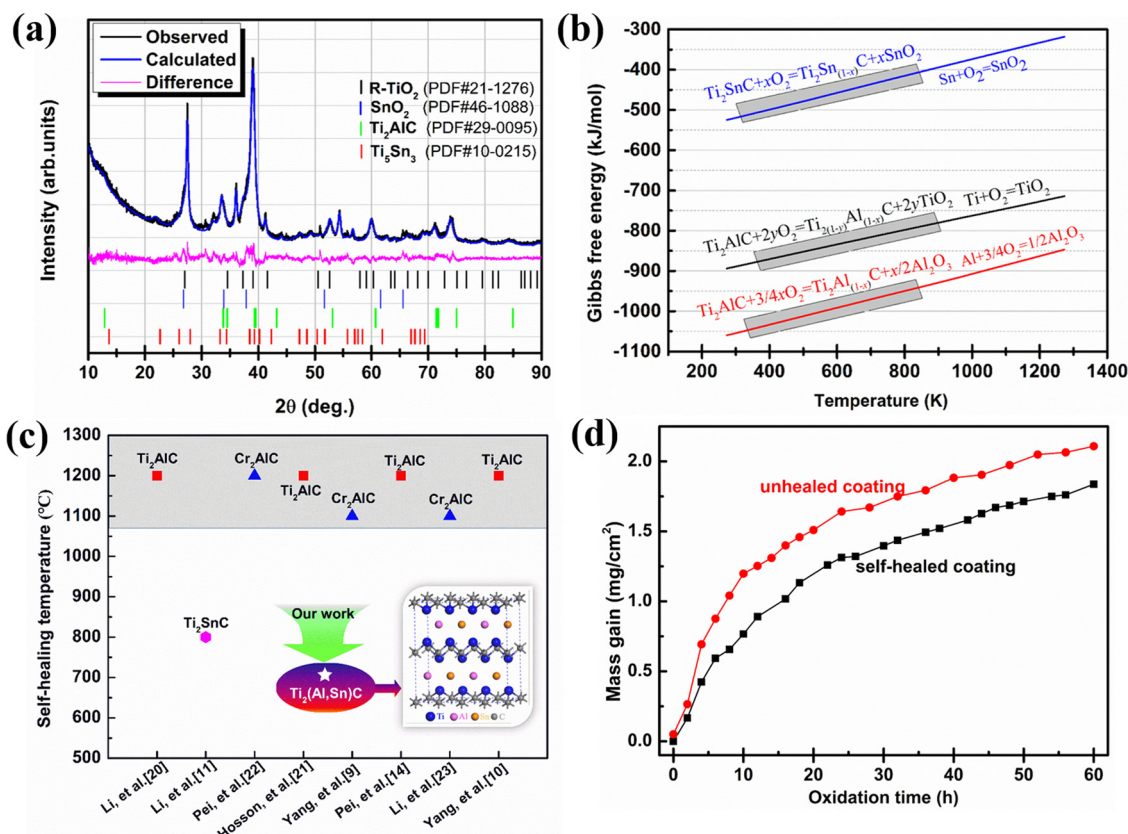


Fig. 4. (a) XRD patterns of the  $Ti_2(Al_{0.6}Sn_{0.4})C$  coating after self-healing. (b) Gibbs free energy of formation of involved oxides versus temperature. (c) Comparative results of the self-healed temperatures of our work and the references. (d) The mass gains of unhealed coating and self-healed coating during oxidation at 800 °C.

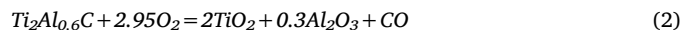
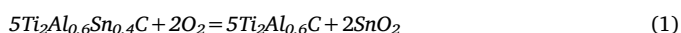
Table 2

Calculated phase content together with calculated lattice parameters for self-healed coating.

Phases	Content (wt.%)	$a_0$ (Å)	$c_0$ (Å)	$c_0/a_0$	R-P (%)	R-WP (%)
$Ti_2(Al_{0.99}Sn_{0.01})C$	45	3.02	13.64	4.51	6.5	8.7
$Ti_5Sn_3$	23	8.06	5.48	0.68		
Rutile-TiO <sub>2</sub>	23	4.59	2.97	0.65		
SnO <sub>2</sub>	9	4.70	3.18	0.67		

these oxides exhibit amorphous or weak crystalline state. Combined with the above EDS mapping results, no aggregate phenomenon of Al around the cracks is found after self-healing. Although the content of SnO<sub>2</sub> (9 wt.%) is lower than TiO<sub>2</sub> (23 wt.%), the cracks are mainly filled by SnO<sub>2</sub>. Therefore, thermodynamic data is considered to be insufficient to determine the formation of oxides during self-healing. The kinetics should also be among the determining factors in developing the self-healing MAX-phase materials based on thermodynamics.

In addition to TiO<sub>2</sub> and SnO<sub>2</sub>, the self-healed coating is also composed of  $Ti_2(Al_{0.99}Sn_{0.01})C$  MAX phase (45 wt.%) and  $Ti_5Sn_3$  (23 wt.%). As shown in Table 2, the lattice constant of  $c_0/a_0$  in MAX phase increases from 4.42 to 4.51 after self-healing, which contributes to the release of the Sn atoms from the MAX phase crystal lattice. Similar behavior has been found in [19] where the destabilization of  $Ti_2SnC$  crystal lattice inclines to release Sn at temperatures below 600 °C leaving a distorted  $Ti_2Sn_{1-x}C$  metastable phase. In addition, the content of  $Ti_5Sn_3$  in self-healed coating (23 wt.%) has little difference compared to the unhealed coating (20 wt.%). Therefore, it is reasonable to speculate that the oxidation of  $Ti_2Al_{0.6}Sn_{0.4}C$  coating in our study enabled the self-healing to follow two stages:



In contrast to the already-reported self-healing temperatures [9–11,14,21–23], as shown in Fig. 4c, the obtained solid solution  $Ti_2(Al_{0.6}Sn_{0.4})C$  MAX phase coating in our study can heal the cracks induced by Vickers indentation at 700 °C, which is lowest self-healed temperature at recently, and it may be further reduced with increasing the Sn addition.

Cracks self-healing behavior of  $Ti_2(Al, Sn)C$  MAX phase coating was aimed to slow the internal diffusion of corrosive particles. Therefore, the oxidation resistance properties of self-healed  $Ti_2(Al, Sn)C$  MAX phase coating was studied here. The oxidation kinetics results (see Fig. 4d) indicated that the self-healed  $Ti_2(Al_{0.6}Sn_{0.4})C$  MAX phase coating exhibited lower mass gains than the unhealed coating during oxidation process at 800 °C, suggesting a better protective properties. The detailed self-healing performance, including oxidation resistance mechanism, flexural strength or electrical conductivity and so on, would be discussed in our future work.

#### 4. Conclusion

In summary, a solid solution  $Ti_2(Al_{0.6}Sn_{0.4})C$  MAX phase coating with a large amount of  $Ti_5Sn_3$  (20 wt.%) was successfully prepared by a combined technique composing of magnetron sputtering and post-heat treatment. Self-healing properties of the Vickers indentation induced cracks in  $Ti_2(Al_{0.6}Sn_{0.4})C$  coating was investigated. It was found that the main healing mechanism was the filling behavior of the formed SnO<sub>2</sub> and some rutile-TiO<sub>2</sub>. The most important result is that the Al-based MAX phases coating can heal coating defects (e.g. cracks, pores, etc.) even at lower temperatures of 700 °C by substituting Al with Sn having low melting point. This work is the first report of self-healing for Al-based MAX phase coatings in such a low temperature. In addition, the self-healed coating behaved lower oxidation kinetics at 800 °C



compared to unhealed coating. The achievement can provide a novel and facile strategy to fabricate outstanding MAX phase coatings with defects-free used for oxidation-/irradiation-/corrosion-resistant applications, such as the protective coatings for nuclear power plants and aerospace components.

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